

Draft Criteria for the reallocation of funds

Slovakia, SK08 Programme Cross-border cooperation

(Article 6.9 of the Regulation shall apply)

Additional activities can be planned for further 3 months. Expected period of additional activities execution February 2017 – April 2017, after a project agreement amendment conclusion.

1. Has your project been funded under Call CBC01?

As part of the Call for reallocation of funds of SK08 Programme Cross border cooperation only projects that have been funded by previous Calls can apply for further financial assistance with the additional activities. Projects from Call CBC01 can apply for the additional funds from Programme Operator. Moreover, projects from Call CBC02 can apply from the small grant scheme operator.

2. Do the additional activities of projects that would obtain further funds contribute to project objectives?

The Project promoter shall state the activities that are the further funds planned to be used for. The Selection committee shall judge if the additional activities shall be implemented in the same area of cross-border cooperation that were initially planned in the project, if the additional activities shall lead to increase or improvement of outputs and results of project and furthermore, whether they involve cross-border cooperation element as such.

3. Will the additional activities be executed within the 3 months (February – April 2017)

The Project Promoter shall also provide a schedule for the additional activities, including their preparation and execution timing. Selection committee shall assess whether the individual activities are implemented at this point of time with a sufficient time

4. Is the project close to fulfilling its indicators and therefore reaching its objectives? Will the additional activities jeopardize the outputs or objectives of the project?

The Project Promoter shall provide the up-to-date value of the indicators at the level of Project outcomes. Whenever appropriate, the Project Promoter shall also provide a monthly average of each indicator's value, as to allow the Selection Committee to verify whether the target value of these indicators can be met. The Selection Committee may reflect objective reasons of not fulfilling the specific indicators, if they are observed at more projects.

5. Is the Ukrainian partner financially involved in the additional activities? Is the percentage of the total eligible expenditure (further also „TEE“) of Ukrainian project partner still at least 10 or more percent even after the budget increase?

The Project Promoter shall mention which activities is the Ukrainian partner involved in and in what way. Furthermore, they shall state the proportion of total eligible expenditure of the Ukrainian partner in total eligible expenditure of the whole project. Selection committee will review the Ukrainian partner's involvement, especially with regards to their contribution, whether it is not just a formal involvement and will check if the mentioned proportion of total eligible expenditure of the

Ukrainian partner and the grand total eligible expenditure corresponds with the project budget after its suggested increase.

6. In what area are the additional activities implemented and what impact do they have on the border region?

The Selection committee will assess the implementation area for the additional project activities and whether these have any impact on the border region defined as Prešov self-governing region, Košice self-governing region and Zakarpattia region of Ukraine.

7. Are you able to secure the mandatory co-financing, corresponding with the actual ex rate as set out in the Project Contract?

The fulfilment of this criterion shall be evaluated based on the affidavit of the statutory representative(s). In case the organisation's rules request the consent of a superior body (the founder, the board of trustees etc.) this consent needs to be delivered. Bank account statement, possibly commitment of bank or other institution is needed to submit before the addendum to the project contract has been signed.

8. Have there been any serious irregularities reported?

The Project Promoter shall list all cases of irregularities reported under the Project. The Programme Operator shall check the completeness of this list. Serious irregularities should be understood as irregularities leading to financial corrections or reimbursement of funds amounting to 25% of the Project Grant or more, or irregularities otherwise affecting the implementation project in a serious manner.

9. Does the Project proceed in line with the timeline set in the table 10 of the Project Contract (the Schedule of the settlement of the actually incurred expenditures)?

The Project Promoters should provide the up-to-date data as regards this criterion that will be validated by the Programme Operator through the Project Interim Reports. This is to favour those Project Promoters that were able to proceed with their project in accordance with the planned schedule. Selection committee shall also confirm the percentage of accounting of the project grant. As a rule of thumb, projects that have not accounted for at least 20% of the project grant until 31. 08. 2016 shall not be successful applicants. However, exceptions can be granted.

10. Do the partners that are involved in the project agree to take part in the additional activities?

The Project Promoter shall submit an original agreement of project partners that are involved in the additional activities of the project signed by partner statutory representative.

Only Projects meeting all relevant criteria set by the Selection committee can qualify for this additional funding!

Criterion number	Qualification criterion	Relevance
1.	YES	fully
2.	YES	Selection committee can reject activities that do not fulfil criterion, alternatively to adjust them, also scoring criterion
3.	YES	Selection committee can reject or adjust activities so that they shall be in accordance with the criterion.
4.	YES	fully
5.	NO	Scoring criterion
6.	PARTIALLY	Impact on the border region, also scoring criterion
7.	YES	fully
8.	YES	fully
9.	YES	fully
10.	YES	fully

The application for the additional support shall be submitted no later than 23rd November 2016 on a prepopulated template elaborated by the Programme Operator. The additional funding shall be used no later than 30. 04. 2017. The amount available for additional funding of Projects is EUR 2 290 777 EUR. The funds intended for projects supported by Call CBC01 are up to the amount of 1 810 000 EUR. In case of unallocation of funds intended for Call CBC02 (480 777 EUR) these shall be reallocated to projects supported by Call CBC02 that have qualified but resulted in excess of available funds.

The maximum amount of the additionally allocated funds (project grant) cannot exceed a double of the initial amount. In general the Project Promoter can based on their present project needs suggest any kind of additional activities provided these activities shall contribute the project goals.

XXX

During the acceptance of the recommendation of the additional funds allocation the Selection committee shall review the following criteria of the projects that have qualified. These criteria are in the text identified as scoring criteria.

1. Ukrainian partner involvement in the additional activities of the project

Ukrainian partner involvement and their % proportion in TEE of the project after the increase	Points
yes, > 20 %	10
yes, 13 – 20 %	8
yes, 10 – 12 %	6
yes, 5% - 9 %	4
yes, < 5%	2
No	0

2. Area where most of the additional activities take place according to financial definition

Area	Points
Ukrainian side and Slovak side of Snina, Sobrance, Michalovce, Trebišov districts	10
Ukrainian side and Slovak side of Medzilaborce, Svidník, Stropkov, Humenné, Vranov nad Topľou districts	6
Ukrainian side and Slovak side of other districts of Prešov and Košice self-governing counties not mentioned above	4
Ukrainian side as well as Slovak side, except Prešov and Košice self-governing counties or Slovak side, other districts of Prešov and Košice self-governing districts not mentioned above	2
Slovak side only, except Prešov and Košice self-governing counties	0

3. Added value of the additional funding

Added value	Points	Notes
Exceptional	20	Additional funding brings activities that are particularly relevant to the programme as well as to strengthening of cross-border

		cooperation. Cross-border element will be markedly strengthened. Additional funding shall cause relevant increase in target indicator values.
Exceeds expectation	16	Portion of additional funding leads to activities that are in certain way substantial for the project. Additional funding shall also cause an increase of target indicator values as well as strengthening of cross-border element.
Average	10	Bigger portion of additional funding is focused only on repeated activities that are supported even in the initial project or the additional funding shall bring an appropriate increase in target indicator values. Cross-border element of the activities is obvious.
Does not reach the expectation	2	Increase in funding shall only introduce negligible increase of target indicator values and a slight support of cross-border element.
Negative additional value	0	Usage of the additional funding is not clear or the additional funding is not in accordance with the goals, or the strategy of the programme. In this case the selection committee should not recommend any further funding.

4. % expenditure accounted for from the project grant

%	Points
> 50 %	10
41 – 50 %	6
31% - 40%	4

20 – 30 %	2
< 20 %	0

The Programme Operator shall evaluate the scoring criteria fulfilment and shall forward the ranking to the Selection committee. The Selection committee shall review the ranking and shall accept the recommendation by the following:

1. Projects that obtained 0 points in the scoring criterion number 3 (added value) shall not be recommended for the additional funding.
2. Projects that obtained 0 points in scoring criterion 1,2 or 4 shall rank in lower part of the table and shall be recommended only in case of sufficient funds left from all the other projects that have qualified (with the exception of projects mentioned in previous section)
3. Projects that have not obtained more than 6 points shall not be recommended for the additional funding.
4. Projects that have obtained more than 6 points shall be recommended based on their ranking and available allocation.

The statute and the rules of procedures for the Selection Committee shall apply mutatis mutandis to the process of recommendation of projects for additional support.

The Programme Operator shall generally accept the recommendation of the Selection Committee, but reserves the right to verify the selection procedure, as well as to reduce the additional funding, if appropriate.